I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: May 15, 2006

Signature:

(Raymond Garguillo, Jr.)

Docket No.: SPINE 3.0-437 CIPCIPCIPCIPCIP CON XV (PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1959 Patent Application of:

Errico et al.

Group Art Unit: 3738

Application No.: 10/642,527

Confirmation No. 3169

Filed: August 15, 2003

Examiner: B. E. Pellegrino

For: AXIALLY COMPRESSIBLE ARTIFICIAL

INTERVERTEBRAL DISC HAVING A
CAPTURED BALL AND SOCKET JOINT
WITH A SOLID BALL AND COMPRESSION

**LOCKING POST** 

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## REQUEST FOR CONSIDERATION OF INFORMATION UNDER 37 CFR § 1.97 (C)

Dear Sir:

It is respectfully requested that the references cited in the enclosed form be considered pursuant to 37 C.F.R. § 1.97(c). Please charge deposit account No. 12-1095 in the amount of \$180.00 pursuant to 37 C.F.R. § 1.17(p). In the event that any additional fee is due in connection with the present request, the same should be charged to our Deposit Account No. 12-1095.

Dated: May 15, 2006

Respectfully submitted,

Raymond Garguilo, Jr.

Registration No.: 50,939

LERNER, DAVID, LATTENBERG,
KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant

657287\_1.DOC



PTO/SB/08a/b (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE on Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/B/PTO 10/642,527-Conf. #3169 Application Number **INFORMATION DISCLOSURE** August 15, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor Joseph P. Errico Art Unit 3738 (Use as many sheets as necessary) B. E. Pellegrino Examiner Name SPINE 3.0-437 Attorney Docket Number Sheet 1 of 4 CIPCIPCIPCIPCIP CON XV

			U.S. PA	TENT DOCUMENTS	
Exami ner		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials *	Cite No. <sup>1</sup>	Number-Kind Code <sup>2</sup> ( if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	AA*	US-2,193,122	03/1940	Crabbs	
	AB*	US-2001/0007073	07-05-2001	Zucherman, et al.	
	AC*	US-2001/0016773-A1	08-23-2001	Serhan et al.	
	AD*	US-2001/0020170-A1	09-06-2001	Zucherman et al.	
	AE*	US-2001/0039452-A1	11-08-2001	Zucherman et al.	
	AF*	US-2002/0062131-A1	05-23-2002	Gallo, Sr.	
	AG*	US-2002/0082695-A1	06-27-2002	Neumann	
	AH*	US-2002/0099377	07-25-2002	Zucherman, et al.	
	AI*	US-2002/0111679-A1	08-15-2002	Zucherman et al.	
	AJ*	US-2002/0111681-A1	08-15-2002	Ralph et al.	
	AK*	US-2002/0111682-A1	08-15-2002	Ralph et al.	
	AL*	US-2002/0111684	08-15-2002	Ralph, et al.	
	AM*	US-2002/0111685-A1	08-15-2002	Ralph et al.	
	AN*	US-2002/0128714-A1	09-12-2002	Manasas et al.	
***	AO*	US-2002/0161375-A1	10-31-2002	Ralph et al.	
	AP*	US-2003/0014057-A1	01-16-2003	Ralph et al.	
	AQ*	US-2003/0014109-A1		Ralph et al.	
	AR*	US-2003/0014110-A1	01-16-2003	Ralph et al.	
	AS*	US-2003/0014112-A1		Ralph et al.	
	AT*	US-2003/0014113-A1	01-16-2003	Ralph et al.	
	AU*	US-2003/0014114-A1	01-16-2003	Ralph et al.	
	AV*	US-2003/0014115-A1	01-16-2003	Ralph et al.	
	AW*	US-2003/0014116-A1	01-16-2003	Ralph et al.	
	AX*	US-2003/0023245-A1	01-30-2003	Ralph et al.	
	AY*	US-2003/0023309-A1	01-30-2003	Ralph et al.	
	AZ*	US-2003/0023310-A1	01-30-2003	Ralph et al.	
	AA1*	US-2003/0028197-A1	02-06-2003	Hanson et al.	
	AB1*	US-2003/0028249-A1	02-06-2003	Baccelli et al.	
	AC1*	US-2003/0028252-A1	02-06-2003	Ralph et al.	
	AD1*	US-2003/0040801-A1	02-27-2003	Ralph et al.	
	AE1*	US-2003/0055503-A1	03-20-2003	O'Neil	
	AF1*	US-2003/0060886-A1	03-27-2003	Van Hoeck et al.	
	AG1*	US-2003/0065395-A1	04-03-2003	Ralph et al.	
	AH1*	US-2003/0069586-A1	04-10-2003	Errico et al.	
	AI1*	US-2003/0069642-A1	04-10-2003	Ralph et al.	
	AJ1*	US-2003/0074067-A1	04-17-2003	Errico et al.	
	AK1*	US-2003/0100951-A1	05-29-2003	Serhan et al.	
_	AL1*	US-2003/0125748	07-03-2003	Li, et al.	
	AM1*	US-2003/0149438-A1	08-07-2003	Nichols et al.	
	AN1*	US-2003/0149482-A1	08-07-2003	Michelson	
	AO1*	US-2003/0187508	10-02-2003	Cauthen	
	AP1*	US-2003/0191534-A1	10-09-2003	Viart et al.	
	AQ1*	US-2003/0199981	10-23-2003	Ferree	
	AR1*	US-2003/0204260-A1	10-30-2003	Ferree	<del> </del>

Examiner	Date	-
Signature	Considered	

PTO/SB/08a/b (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sut	estitute for form 1449A/B/PT	0		Complete if Known		
				Application Number	10/642,527-Conf. #3169	
11	NFORMATION	N DI	SCLOSURE	Filing Date	August 15, 2003	
S	TATEMENT I	BY /	APPLICANT	First Named Inventor	Joseph P. Errico	
_				Art Unit	3738	
	(Use as many sheets as necessary)			Examiner Name	B. E. Pellegrino	
Sheet	2	of	4	Attomey Docket Number	SPINE 3.0-437 CIPCIPCIPCIP CON XV	

AS1" US-2003/020247-A1 11-06-2003   Kuras	 	<u> </u>		
AU1"				
AVI*   US-2003/0233087-A1   12-18-2003   Ferree	 			
AW1*   US-2003/0233148-A1   12-18-2003   Ferree				
AX1*				
AV1'   US-2004/0002762-A1   O1-01-2004   Hawkins				
AZ1*   US-2004/0010316-A1   01-15-2004   Milliam     AA2*   US-2004/0024406-A1   02-05-2004   Ralph et al.     AB2*   US-2004/0024407-A1   02-05-2004   Ralph et al.     AB2*   US-2004/0024407-A1   02-05-2004   Ralph et al.     AC2*   US-2004/0030387-A1   02-12-2004   Landry et al.     AD2*   US-2004/0030390-A1   02-12-2004   Ferree     AE2*   US-2004/0030390-A1   02-12-2004   Ferree     AF2*   US-2004/0030390-A1   02-12-2004   Ferree     AF2*   US-2004/0078079-A1   04-22-2004   Ferroe et al.     AG2*   US-2004/0078079-A1   04-22-2004   Foley     AH2*   US-3,867,728   02-25-1975   Stubstad et al.     AI2*   US-4,590,9777   01-12-1982   Patil     AI2*   US-4,590,466   01-28-1986   Ripple et al.     AI2*   US-4,759,766   07-26-1988   Buettner-Janz et al.     AI2*   US-4,759,769   07-26-1988   Buettner-Janz et al.     AN2*   US-4,932,969   06-12-1999   Frey et al.     AN2*   US-4,937,432   03-05-1991   Keller     AO2*   US-4,997,432   03-05-1991   Cologna et al.     AO2*   US-5,236,460   08-17-1993   Barber     AR2*   US-5,536,038   04-26-1994   Gross et al.     AC2*   US-5,536,036   04-26-1994   Gross et al.     AV2*   US-5,537,669   11-02-1993   Barber     AS2*   US-5,306,308   04-26-1994   Gross et al.     AV2*   US-5,401,269   03-28-1995   Buttner-Janz et al.     AV2*   US-5,401,269   03-28-1995   Buttner-Janz et al.     AV2*   US-5,507,816   04-16-1996   Buttner-Janz et al.     AV2*   US-5,562,738   10-08-1996   Buttner-Janz et al.     AV2*   US-5,562,738   10-08-1996   Buttner-Janz et al.     AV2*   US-5,607,716   04-16-1996   Buttner-Janz et al.     AV3*   US-5,562,738   10-08-1996   Buttner-Janz et al.     AV3*   US-5,683,464   11-04-1997   Yang et al.     AV3*   US-5,683,465   11-04-1997   Yang et al.     AV3*   US-5,683,465   11-04-1997   Vanger et al.     AV3*   US-5,683,465   11-04-1997   Vanger et al.     AV3*   US-5,683,465   11-04-1997   Wagner et al.     AV3*   US-5,683,465   11-04-1999   Bryan et al.     AV3*   US-5,685,846   02-02-1999   Bryan et al.     AV3*   US-5,685,846   02-02-1999   Bryan				
AA2*   US-2004/002440F-A1   02-05-2004   Ralph et al.	AY1*			
AB2*   US-2004/0030387-A1   02-12-2004   Landry et al.				
AC2* US-2004/0030387-A1 02-12-2004 Landry et al. AD2* US-2004/0030389-A1 02-12-2004 Ferree US-2004/0030389-A1 02-12-2004 Ferree  AF2* US-2004/0034426-A1 02-19-2004 Errico et al. AC2* US-2004/0034426-A1 02-19-2004 Errico et al. AC2* US-2004/0034426-A1 02-19-2004 Ferree  AF2* US-3,867,728 02-25-1975 Stubstad et al. AL2* US-4,309,777 01-12-1982 Patil AL2* US-4,309,777 01-12-1982 Patil AL2* US-4,66,466 01-28-1986 Ripple et al. AK2* US-4,65,417 08-12-1986 Fielschauer AL2* US-4,759,766 07-26-1988 Buettner-Janz et al. AM2* US-4,759,766 07-26-1988 Buettner-Janz et al. AM2* US-4,759,769 07-26-1988 Hedman et al. AM2* US-4,932,969 06-12-1990 Frey et al. AN2* US-5,034,254 07/1991 Cologna et al. AP2* US-5,034,254 07/1991 Cologna et al. AP2* US-5,034,254 07/1991 Cologna et al. AP2* US-5,036,308 04-26-1994 Gross et al. AF2* US-5,316,477 05-24-1994 Marnay AU2* US-5,310,697 12-06-1994 Baumgartner AV2* US-5,411,477 05-24-1994 Baumgartner AV2* US-5,410,269 03-28-1995 Buttner-Janz et al. AV2* US-5,425,773 06-20-1995 Boyd et al. AV2* US-5,507,816 04-16-1996 Butlivent-Janz et al. AV2* US-5,507,816 04-16-1996 Butlivent-Janz et al. AX2* US-5,507,816 04-16-1996 Butlivent-Janz et al. AX3* US-5,683,465 11-04-1997 Yuan et al. AX3* US-5,683,466 01-07-1998 Bryan et al. AX3* US-5,683,466 01-07-1998 Bryan et al. AX3* US-5,683,466 01-07-1999 Bryan et al. AX3* US-5,685,846 02-02-1999 Bryan et al. AX3* US-5,685,846 02-02-1999 Bryan et al. AX3* US-5,885,226 03-30-1999 Bryan et al.	AA2*	US-2004/0024406-A1	02-05-2004	Ralph et al.
AD2" US-2004/0030389-A1   02-12-2004   Ferree   AE2" US-2004/0030390-A1   02-12-2004   Ferree   AF2" US-2004/0078079-A1   02-19-2004   Errico et al.				
AE2" US-2004/0030390-A1   02-12-2004   Ferree	AC2*			
AF2* US-2004/0034426-A1 02-19-2004   Errico et al.	AD2*	US-2004/0030389-A1	02-12-2004	Ferree
AG2* US-2004/0078079-A1 04-22-2004   Foley	AE2*			
AH2* US-3,867,728   02-25-1975   Stubstad et al.		US-2004/0034426-A1	02-19-2004	Errico et al.
AJ2* US-4,309,777	AG2*		04-22-2004	Foley
AJ2* US-4,566,466	AH2*	US-3,867,728	02-25-1975	Stubstad et al.
AK2* US-4,605,417   08-12-1986   Fleischauer				
AL2* US-4,759,766   07-26-1988   Buettner-Janz et al.		US-4,566,466	01-28-1986	Ripple et al.
AM2* US-4,759,769	AK2*	US-4,605,417	08-12-1986	Fleischauer
AN2* US-4,932,969	AL2*	US-4,759,766	07-26-1988	Buettner-Janz et al.
AO2* US-4,997,432   O3-05-1991   Keller	AM2*	US-4,759,769	07-26-1988	Hedman et al.
AP2* US-5,034,254   07/1991   Cologna et al.	AN2*	US-4,932,969	06-12-1990	Frey et al.
AP2* US-5,034,254   07/1991   Cologna et al.	AO2*	US-4,997,432	03-05-1991	Keller
AQ2* US-5,236,460	AP2*	US-5,034,254	07/1991	Cologna et al.
AR2* US-5,258,031	AQ2*		08-17-1993	Barber
AT2* US-5,314,477 05-24-1994 Marnay AU2* US-5,370,697 12-06-1994 Baumgartner AV2* US-5,401,269 03-28-1995 Buttner-Janz et al.  AW2* US-5,425,773 06-20-1995 Boyd et al.  AX2* US-5,507,816 04-16-1996 Bullivant AY2* US-5,548,642 10-17-1996 Beer et al.  AZ2* US-5,556,431 09-17-1996 Buttner-Janz  AA3* US-5,562,738 10-08-1996 Boyd et al.  AB3* US-5,667,701 10-14-1997 Bryan et al.  AC3* US-5,676,701 10-14-1997 Yuan et al.  AD3* US-5,663,464 11-04-1997 Ratron  AE3* US-5,683,464 11-04-1997 Wagner et al.  AF3* US-5,683,465 11-04-1997 Shinn et al.  AG3* US-5,755,796 05-26-1998 Ibo et al.  AH3* US-5,782,830 07-21-1998 Farris  AJ3* US-5,827,328 10-27-1998 Butterman  AK3* US-5,827,328 10-27-1998 Butterman  AK3* US-5,883,266 02-02-1999 Bryan et al.  AJ3* US-5,888,226 03-30-1999 Rogozinski  AM3* US-5,885,428 04-20-1999 Berry	AR2*		11-02-1993	Salib, et al.
AU2* US-5,370,697   12-06-1994   Baumgartner	AS2*	US-5,306,308	04-26-1994	Gross et al.
AU2* US-5,370,697   12-06-1994   Baumgartner	AT2*	US-5,314,477	05-24-1994	Marnay
AW2*       US-5,425,773       06-20-1995       Boyd et al.         AX2*       US-5,507,816       04-16-1996       Bullivant         AY2*       US-5,548,642       10-17-1995       Beer et al.         AZ2*       US-5,556,431       09-17-1996       Buttner-Janz         AA3*       US-5,662,738       10-08-1996       Boyd et al.         AB3*       US-5,674,296       10-07-1997       Bryan et al.         AC3*       US-5,676,701       10-14-1997       Yuan et al.         AD3*       US-5,676,702       10-14-1997       Ratron         AE3*       US-5,683,464       11-04-1997       Wagner et al.         AF3*       US-5,683,465       11-04-1997       Shinn et al.         AG3*       US-5,755,796       05-26-1998       Ibo et al.         AH3*       US-5,782,830       07-21-1998       Larsen et al.         AJ3*       US-5,827,328       10-27-1998       Butterman         AK3*       US-5,865,846       02-02-1999       Bryan et al.         AL3*       US-5,888,226       03-30-1999       Rogozinski         AM3*       US-5,895,428       04-20-1999       Berry	AU2*	US-5,370,697	12-06-1994	Baumgartner
AX2* US-5,507,816 04-16-1996 Bullivant  AY2* US-5,548,642 10-17-1995 Beer et al.  AZ2* US-5,556,431 09-17-1996 Buttner-Janz  AA3* US-5,662,738 10-08-1996 Boyd et al.  AB3* US-5,674,296 10-07-1997 Bryan et al.  AC3* US-5,676,701 10-14-1997 Yuan et al.  AD3* US-5,676,702 10-14-1997 Ratron  AE3* US-5,683,464 11-04-1997 Wagner et al.  AF3* US-5,683,465 11-04-1997 Shinn et al.  AG3* US-5,755,796 05-26-1998 Ibo et al.  AH3* US-5,782,830 07-21-1998 Farris  AI3* US-5,827,328 10-27-1998 Butterman  AK3* US-5,885,846 02-02-1999 Bryan et al.  AL3* US-5,888,226 03-30-1999 Rogozinski  AM3* US-5,895,428 04-20-1999 Berry	 AV2*	US-5,401,269	03-28-1995	Buttner-Janz et al.
AY2*       US-5,548,642       10-17-1995       Beer et al.         AZ2*       US-5,556,431       09-17-1996       Buttner-Janz         AA3*       US-5,662,738       10-08-1996       Boyd et al.         AB3*       US-5,674,296       10-07-1997       Bryan et al.         AC3*       US-5,676,701       10-14-1997       Yuan et al.         AD3*       US-5,676,702       10-14-1997       Ratron         AE3*       US-5,683,464       11-04-1997       Wagner et al.         AF3*       US-5,683,465       11-04-1997       Shinn et al.         AG3*       US-5,755,796       05-26-1998       Ibo et al.         AH3*       US-5,782,830       07-21-1998       Farris         AI3*       US-5,827,328       10-27-1998       Butterman         AK3*       US-5,865,846       02-02-1999       Bryan et al.         AL3*       US-5,888,226       03-30-1999       Rogozinski         AM3*       US-5,895,428       04-20-1999       Berry	AW2*	US-5,425,773	06-20-1995	Boyd et al.
AZ2* US-5,556,431	AX2*	US-5,507,816	04-16-1996	Bullivant
AA3*   US-5,562,738   10-08-1996   Boyd et al.     AB3*   US-5,674,296   10-07-1997   Bryan et al.     AC3*   US-5,676,701   10-14-1997   Yuan et al.     AD3*   US-5,676,702   10-14-1997   Ratron     AE3*   US-5,683,464   11-04-1997   Wagner et al.     AF3*   US-5,683,465   11-04-1997   Shinn et al.     AG3*   US-5,755,796   05-26-1998   Ibo et al.     AH3*   US-5,782,830   07-21-1998   Farris     AI3*   US-5,782,832   07-21-1998   Larsen et al.     AJ3*   US-5,827,328   10-27-1998   Butterman     AK3*   US-5,865,846   02-02-1999   Bryan et al.     AL3*   US-5,888,226   03-30-1999   Rogozinski     AM3*   US-5,895,428   04-20-1999   Berry	AY2*	US-5,548,642	10-17-1995	Beer et al.
AA3*   US-5,562,738   10-08-1996   Boyd et al.     AB3*   US-5,674,296   10-07-1997   Bryan et al.     AC3*   US-5,676,701   10-14-1997   Yuan et al.     AD3*   US-5,676,702   10-14-1997   Ratron     AE3*   US-5,683,464   11-04-1997   Wagner et al.     AF3*   US-5,683,465   11-04-1997   Shinn et al.     AG3*   US-5,755,796   05-26-1998   Ibo et al.     AH3*   US-5,782,830   07-21-1998   Farris     AI3*   US-5,782,832   07-21-1998   Larsen et al.     AJ3*   US-5,827,328   10-27-1998   Butterman     AK3*   US-5,865,846   02-02-1999   Bryan et al.     AL3*   US-5,888,226   03-30-1999   Rogozinski     AM3*   US-5,895,428   04-20-1999   Berry			09-17-1996	Buttner-Janz
AC3* US-5,676,701 10-14-1997 Yuan et al.  AD3* US-5,676,702 10-14-1997 Ratron  AE3* US-5,683,464 11-04-1997 Wagner et al.  AF3* US-5,683,465 11-04-1997 Shinn et al.  AG3* US-5,755,796 05-26-1998 Ibo et al.  AH3* US-5,782,830 07-21-1998 Farris  AI3* US-5,782,832 07-21-1998 Larsen et al.  AJ3* US-5,827,328 10-27-1998 Butterman  AK3* US-5,865,846 02-02-1999 Bryan et al.  AL3* US-5,888,226 03-30-1999 Rogozinski  AM3* US-5,895,428 04-20-1999 Berry		US-5,562,738	10-08-1996	Boyd et al.
AD3* US-5,676,702 10-14-1997 Ratron  AE3* US-5,683,464 11-04-1997 Wagner et al.  AF3* US-5,683,465 11-04-1997 Shinn et al.  AG3* US-5,755,796 05-26-1998 Ibo et al.  AH3* US-5,782,830 07-21-1998 Farris  AI3* US-5,782,832 07-21-1998 Larsen et al.  AJ3* US-5,827,328 10-27-1998 Butterman  AK3* US-5,865,846 02-02-1999 Bryan et al.  AL3* US-5,888,226 03-30-1999 Rogozinski  AM3* US-5,895,428 04-20-1999 Berry		US-5,674,296		
AE3*       US-5,683,464       11-04-1997       Wagner et al.         AF3*       US-5,683,465       11-04-1997       Shinn et al.         AG3*       US-5,755,796       05-26-1998       Ibo et al.         AH3*       US-5,782,830       07-21-1998       Farris         AI3*       US-5,782,832       07-21-1998       Larsen et al.         AJ3*       US-5,827,328       10-27-1998       Butterman         AK3*       US-5,865,846       02-02-1999       Bryan et al.         AL3*       US-5,888,226       03-30-1999       Rogozinski         AM3*       US-5,895,428       04-20-1999       Berry				
AE3*       US-5,683,464       11-04-1997       Wagner et al.         AF3*       US-5,683,465       11-04-1997       Shinn et al.         AG3*       US-5,755,796       05-26-1998       Ibo et al.         AH3*       US-5,782,830       07-21-1998       Farris         AI3*       US-5,782,832       07-21-1998       Larsen et al.         AJ3*       US-5,827,328       10-27-1998       Butterman         AK3*       US-5,865,846       02-02-1999       Bryan et al.         AL3*       US-5,888,226       03-30-1999       Rogozinski         AM3*       US-5,895,428       04-20-1999       Berry	AD3*	US-5,676,702		
AG3*       US-5,755,796       05-26-1998   lbo et al.         AH3*       US-5,782,830       07-21-1998   Farris         AI3*       US-5,782,832       07-21-1998   Larsen et al.         AJ3*       US-5,827,328       10-27-1998   Butterman         AK3*       US-5,865,846       02-02-1999   Bryan et al.         AL3*       US-5,888,226       03-30-1999   Rogozinski         AM3*       US-5,895,428       04-20-1999   Berry		US-5,683,464	11-04-1997	Wagner et al.
AH3*       US-5,782,830       07-21-1998       Farris         Al3*       US-5,782,832       07-21-1998       Larsen et al.         AJ3*       US-5,827,328       10-27-1998       Butterman         AK3*       US-5,865,846       02-02-1999       Bryan et al.         AL3*       US-5,888,226       03-30-1999       Rogozinski         AM3*       US-5,895,428       04-20-1999       Berry				
Al3*       US-5,782,832       07-21-1998       Larsen et al.         AJ3*       US-5,827,328       10-27-1998       Butterman         AK3*       US-5,865,846       02-02-1999       Bryan et al.         AL3*       US-5,888,226       03-30-1999       Rogozinski         AM3*       US-5,895,428       04-20-1999       Berry	AG3*		05-26-1998	Ibo et al.
AJ3* US-5,827,328 10-27-1998 Butterman  AK3* US-5,865,846 02-02-1999 Bryan et al.  AL3* US-5,888,226 03-30-1999 Rogozinski  AM3* US-5,895,428 04-20-1999 Berry				
AK3*       US-5,865,846       02-02-1999       Bryan et al.         AL3*       US-5,888,226       03-30-1999       Rogozinski         AM3*       US-5,895,428       04-20-1999       Berry				
AL3* US-5,888,226 03-30-1999 Rogozinski AM3* US-5,895,428 04-20-1999 Berry				
AM3* US-5,895,428 04-20-1999 Berry		US-5,865,846		
	AL3*	US-5,888,226		
AN3*   US-5,899,941   05-04-1999   Nishijima		US-5,895,428		
	AN3*	US-5,899,941	05-04-1999	Nishijima

Examiner	Date	
Signature	Considered	

PTO/SB/08a/b (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sub	stitute for form 1449A/B/P1	ro		Complete if Known		
				Application Number	10/642,527-Conf. #3169	
11	<b>IFORMATION</b>	N DI	SCLOSURE	Filing Date	August 15, 2003	
S	TATEMENT I	BY /	APPLICANT	First Named Inventor	Joseph P. Errico	
_				Art Unit	3738	
	(Use as many sheets as necessary)			Examiner Name	B. E. Pellegrino	
Sheet	3	of	4	Attorney Docket Number	SPINE 3.0-437 CIPCIPCIPCIP CON XV	

AO:		111-23-1999	Ralph et al.
I ΔD			
		12-14-1999	
AQ:		02-01-2000	
AR		03-21-2000	
AS			Xavier et al.
ATS		05-23-2000	
AU		09-05-2000	
AV		10-24-2000	
AW		11-14-2000	Gordon et al.
AX3	3* US-6,156,067	12-05-2000	
AYS		12-12-2000	Urbahns et al.
AZ3	3* US-6,179,874	01-30-2001	Cauthen
AA4	4* US-6,228,118	05-08-2001	Gordon
AB4	4* US-6,280,458	08-28-2001	Boche, et al.
AC.	4* US-6,319,257	11-20-2001	Carignan et al.
AD <sub>4</sub>	4* US-6,368,350	04-09-2002	Erickson et al.
AE4	4* US-6,375,682	04-23-2002	Fleischmann et al.
AF4	1* US-6,416,551	07-09-2002	Keller
AG.	4* US-6,428,544	08-06-2002	Ralph et al.
AH		08-20-2002	Ralph et al.
Al4'	* US-6,471,725	10-29-2002	Ralph et al.
AJ4	* US-6,478,796	11-12-2002	Zucherman et al.
AK4	1* US-6,478,800	11-12-2002	Fraser et al.
AL4	* US-6,478,801	11-12-2002	Ralph et al.
AM	4* US-6,517,580	02-11-2003	Ramadan et al.
AN	4* US-6,527,804	03-04-2003	Gauchet et al.
AO <sub>4</sub>	4* US-6,554,864	04-29-2003	Ralph et al.
AP4	1* US-6,562,047	05-13-2003	Ralph et al.
AQ4	4* US-6,579,320	06-17-2003	Gauchet et al.
AR4	4* US-6,582,466	06-24-2003	Gauchet
AS4	1* US-6,582,468	06-24-2003	Gauchet
AT4	* US-6,592,624	07-15-2003	Fraser et al.
AU4	4* US-6,607,559-A1	08-19-2003	Ralph et al.
AV4	1* US-6,610,092-A1	08-26-2003	Ralph et al.
AW		09-23-2003	<del>- · · · ·</del>
AX4	1* US-6,645,249	11-11-2003	Ralph et al.
AY4		12-30-2003	
AZ4	* US-6,673,113	01-06-2004	Ralph et al.
AA5		01-27-2004	Viart et al.
AB5		02-17-2004	Zacouto
AC:		03-16-2004	Ferree
ADS	5* US-6,716,245	04-06-2004	Pasquet, et al.

Examiner	Date
Signature	Considered

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Su	bstitute for form 1449A/B/PT	·o		Complete if Known		
				Application Number	10/642,527-Conf. #3169	
11	NFORMATION	1 DI	SCLOSURE	Filing Date	August 15, 2003	
l s	STATEMENT B	3Y /	APPLICANT	First Named Inventor	Joseph P. Errico	
	<del>• • • • • • • • • • • • • • • • • • • </del>			Art Unit	3738	
	(Use as many sheets as necessary)			Examiner Name	B. E. Pellegrino	
Sheet	4	of	4	Attorney Docket Number	SPINE 3.0-437 CIPCIPCIPCIP CON XV	

		FOREIG	GN PATENT	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	ВА	WO-97/10776-A2	03/1997	STEINBERG, AMIRAM		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date
Signature	Considered

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.